# **Denotational Semantics**

Slides mostly follow

John C. Reynolds' book Theories of Programming Languages and

Xinyu Feng's lecture notes

#### Denotational semantics

- Idea: programs → mathematical objects
- Finding domains that represent what programs do
  - Partial functions
  - Games between environtment and the system
- Should be compositional
  - Built out of the denotations of sub-programs
- Should be abstract
  - Syntax independence, full abstraction

## This class

- Formulating the denotational semantics for the simple imperative programming language (IMP)
- Basics of domain theory

#### Recall the syntax of IMP

$$(IntExp) e ::= \mathbf{n} | x | e + e | e - e | \dots$$

$$(BoolExp) \ b \ ::= true \ | \ false$$
$$| \ e = e \ | \ e < e$$
$$| \ \neg b \ | \ b \land b \ | \ b \lor b \ | \ ...$$

 $(IntExp) e ::= \mathbf{n} | x | e + e | e - e | \dots$ 

$$(BoolExp) \ b ::= true \mid false \\ \mid e = e \mid e < e \\ \mid \neg b \mid b \land b \mid b \lor b \mid ...$$

(State)  $\sigma \in Var \to \mathbb{Z}$ 

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(State)  $\sigma \in Var \to \mathbb{Z}$ 

$$\llbracket - \rrbracket_{I} ::= \lambda e. \lambda \sigma. n , if (e, \sigma) \to^{*} (\mathbf{n}, \sigma) and [\mathbf{n}] = n$$
$$\llbracket - \rrbracket_{B} ::= \lambda b. \lambda \sigma. \begin{cases} true, & if (b, \sigma) \to^{*} (\mathbf{true}, \sigma) \\ false, & if (b, \sigma) \to^{*} (\mathbf{false}, \sigma) \end{cases}$$

 $(IntExp) e ::= \mathbf{n} | x | e + e | e - e | \dots$ 

$$(BoolExp) \ b \ ::= true \ | \ false$$
$$| \ e = e \ | \ e < e$$
$$| \ \neg b \ | \ b \land b \ | \ b \lor b \ | \ ...$$

(State)  $\sigma \in Var \to \mathbb{Z}$ 

$$\llbracket - \rrbracket_{I} ::= \lambda e. \lambda \sigma. n, if (e, \sigma) \Downarrow n$$
$$\llbracket - \rrbracket_{B} ::= \lambda b. \lambda \sigma. \begin{cases} true, & if (b, \sigma) \Downarrow true \\ false, & if (b, \sigma) \Downarrow false \end{cases}$$

 $(IntExp) e ::= \mathbf{n} | x | e + e | e - e | \dots$ 

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(BoolExp) b ::= true | false
| e = e | e < e
| \neg b | b \land b | b \lor b | ...
```

(State) 
$$\sigma \in Var \to \mathbb{Z}$$

$$\llbracket \mathbf{n} \rrbracket_I \sigma ::= \lfloor \mathbf{n} \rfloor \qquad \llbracket x \rrbracket_I \sigma ::= \sigma(x)$$
$$\llbracket e_1 + e_2 \rrbracket_I \sigma ::= \llbracket e_1 \rrbracket_I \sigma + \llbracket e_2 \rrbracket_I \sigma \qquad \dots$$

 $\llbracket \mathbf{true} \rrbracket_B \sigma ::= true \qquad \llbracket \mathbf{false} \rrbracket_B \sigma ::= false \\ \llbracket \neg b \rrbracket_B \sigma ::= \mathrm{if} \llbracket b \rrbracket_B \sigma \mathrm{then} \ false \mathrm{else} \ true \ \dots$ 

#### Denotational semantics for *Comm*

 $\llbracket - \rrbracket_C \in Comm \to State \to ?$ 

- Either
  - **Terminate,** with a final *State*;
  - Nonterminating, without a final state, e.g., while true do skip
- Must be partial if ? = *State*

#### Denotational semantics for Comm

 $\llbracket - \rrbracket_C \in Comm \to State \to State_{\perp}$ 

- For any set S, let  $S_{\perp} = S \cup \{\perp\}$  (assuming  $\perp \notin S$ )
  - ⊥, usually called "bottom", for nontermination
- The denotational semantics of *Comm* made total

### Semantics for skip and assign.

- $\llbracket \mathbf{skip} \rrbracket_C \sigma \quad ::= \sigma$
- $\llbracket x \coloneqq e \rrbracket_C \sigma ::= \sigma \{x \sim \llbracket e \rrbracket_I \sigma \}$
- E.g.,

$$[x \coloneqq x + 10]_{C} \{(x, 32)\}$$
  
= {(x, 32)}{x \sim [x + 10]\_{I} \{(x, 32)\}}   
= {(x, 32)}{x \sim [[x]]\_{I} \{(x, 32)\} + [[10]]\_{I} \{(x, 32)\})}   
= {(x, 32)}{x \sim (32 + 10)}   
= {(x, 32)}{x \sim 42}   
= {(x, 42)}

#### Semantics for conditionals

• **[if** b **then** 
$$c_1$$
 **else**  $c_2$ ]<sub>C</sub>  $\sigma$   $::= \begin{cases} [[c_1]]_C \sigma, & \text{if} [[b]]_B \sigma = true \\ [[c_2]]_C \sigma, & \text{if} [[b]]_B \sigma = false \end{cases}$ 

• E.g.,

$$\begin{bmatrix} \text{if } x < 0 \text{ then } x = 0 - x \text{ else skip} \end{bmatrix}_{C} \{(x, -3)\} \\ = \begin{bmatrix} x = 0 - x \end{bmatrix}_{C} \{(x, -3)\} \\ \text{ since } \begin{bmatrix} x < 0 \end{bmatrix}_{B} \{(x, -3)\} = true \\ = \{(x, -3)\} \{x \sim \begin{bmatrix} 0 - x \end{bmatrix}_{I} \{(x, -3)\}\} \\ = \{(x, 3)\} \end{bmatrix}$$

 $[[if x < 0 then x = 0 - x else skip]]_{C} \{(x, 5)\}$ =  $[[skip]]_{C} \{(x, 5)\}$  since  $[[x < 0]]_{B} \{(x, 5)\} = false$ =  $\{(x, 5)\}$ 

#### Semantics for sequential composition

• 
$$\llbracket c_1; c_2 \rrbracket_C \sigma$$
  $::= \begin{cases} \bot & , \text{ if } \llbracket c_1 \rrbracket_C \sigma = \bot \\ \llbracket c_2 \rrbracket_C \circ \llbracket c_1 \rrbracket_C \sigma, \text{ otherwise} \end{cases}$ 

• We extend  $f \in S \to T_{\perp}$  to  $f_{\perp} \in S_{\perp} \to T_{\perp}$ 

$$f_{\perp}x ::= \begin{cases} \perp , if \ x = \perp \\ f \ x , otherwise \end{cases}$$

- Effectively it defines a *lift* operator  $(-)_{\perp} \in (S \to T_{\perp}) \to (S_{\perp} \to T_{\perp})$
- So  $[\![c_1; c_2]\!]_C \sigma = ([\![c_2]\!]_C)_{\!\!\!\perp} ([\![c_1]\!]_C \sigma)$

- Idea: define the meaning of while b do c as that of if b then (c; while b do c) else skip
- That is,

 $\begin{bmatrix} \mathbf{while} \ b \ \mathbf{do} \ c \end{bmatrix}_{C} \sigma \\ = \begin{bmatrix} \mathbf{if} \ b \ \mathbf{then} \ (c; \mathbf{while} \ b \ \mathbf{do} \ c) \ \mathbf{else} \ \mathbf{skip} \end{bmatrix}_{C} \sigma \\ = \begin{cases} (\begin{bmatrix} \mathbf{while} \ b \ \mathbf{do} \ c \end{bmatrix}_{C})_{\perp} (\llbracket c \rrbracket_{C} \sigma), \text{ if } \llbracket b \rrbracket_{B} \sigma = true \\ \sigma & , otherwise \end{cases}$ 

• Not syntax directed, not compositional

- We may view [while b do c]<sub>C</sub> as a sulotion for this equation: [while b do c]<sub>C</sub>  $\sigma = \begin{cases} ([while b do c]_C)_{\perp}([c]_C \sigma), \text{ if } [[b]_B \sigma = true \\ \sigma & , otherwise \end{cases}$
- That is, a fixed-point of

$$F ::= \lambda f \in State \to State_{\perp}.$$
$$\lambda \sigma \in State. \begin{cases} f_{\perp}(\llbracket c \rrbracket_{C} \sigma), & if \llbracket b \rrbracket_{B} \sigma = true \\ \sigma & , & otherwise \end{cases}$$

• That is, a fixed-point of

$$F ::= \lambda f \in State \to State_{\perp}.$$
$$\lambda \sigma \in State. \begin{cases} f_{\perp}(\llbracket c \rrbracket_C \sigma), & if \llbracket b \rrbracket_B \sigma = true \\ \sigma & , & otherwise \end{cases}$$

- However, not every  $F \in (State \rightarrow State_{\perp}) \rightarrow (State \rightarrow State_{\perp})$ has a fixed-point, and some may have more than one.
- Example: for any  $\sigma'$ ,  $\lambda\sigma$ .  $\sigma'$  (a constant function) is a solution for

**[[while true do**  $x \coloneqq x + 1$ ]]<sub>C</sub>

• We need to guarantee the meaning is uniquely determined by the equation.

- Intuition: the limit of approximations  $W_n$
- First and least accurate approximation (0-iteration)  $W_0 ::= \lambda \sigma \in State. \perp$
- 1 iteration  $W_1 ::= F W_0 = \lambda \sigma \in State.$  if  $\llbracket b \rrbracket_B \sigma$  then  $(W_0)_{\bot}(\llbracket c \rrbracket_C \sigma)$  else  $\sigma$  $= \lambda \sigma \in State.$  if  $\llbracket b \rrbracket_B \sigma$  then  $\bot$  else  $\sigma$
- 2 iterations  $W_2 ::= F W_1 = \lambda \sigma \in State.$  if  $\llbracket b \rrbracket_B \sigma$  then  $(W_1)_{\bot}(\llbracket c \rrbracket_C \sigma)$  else  $\sigma$
- •
- n+1 iterations

$$W_{n+1} ::= F W_n$$

- Intuition: the limit of finite approximations  $W_n$
- First and least accurate approximation (0-iteration)  $W_0 ::= \lambda \sigma \in State. \perp$
- n+1 iterations

$$W_{n+1} ::= F W_n$$

- The limit  $W ::= \lim_{n \to \infty} W_n$
- How do we take limits in a space of functions?
- Monotonicity + bound
  - An **ordering**  $\sqsubseteq$  such that  $W_0 \sqsubseteq W_1 \sqsubseteq W_2 \sqsubseteq \dots$
  - *Least upper bound* of the sequence

### Partially ordered sets

- A binary relation  $\rho$  on S is
  - Reflexive iff  $\forall x \in S. x \rho x$
  - Transitive iff  $x \rho y \wedge y \rho z \Rightarrow x \rho z$
  - Antisymmetric iff  $x \rho y \land y \rho x \Rightarrow x = y$
  - Symmetric iff  $x \rho y \Rightarrow y \rho x$
- $\sqsubseteq$  is a *preorder* on *S* iff  $\sqsubseteq$  is reflexive and transitive
- $\sqsubseteq$  is a *partial order* on *S* iff  $\sqsubseteq$  is a preorder on *S* and antisymmetric
- A *poset* S: S with a partial order  $\sqsubseteq$  on S
- A *discretely ordered S*: *S* with Id<sub>*S*</sub> as a partial order

#### Hasse diagrams

- Picturize partial orders
  - Points elements; lines direct predecessor
- E.g.,  $\subseteq$  as the partial order on set  $2^{\{a,b,c\}}$



#### Monotonicity and upper bound

- $f \in S \to T$  is *monotone* iff  $x \sqsubseteq y \Rightarrow f x \sqsubseteq f y$
- *y* is *upper bound* of  $X \subseteq S$  iff  $\forall x \in X. x \sqsubseteq y$

#### Least upper bound

- y is a *least upper bound (lub)* of  $X \subseteq S$  iff
  - y is **upper bound** of X, and
  - $\forall z \in S. z$  is an upper bound of  $X \Rightarrow y \sqsubseteq z$
- If S is a poset and X ⊆ S, there is at most one lub of X (denoted by ⊔ X)
- $\sqcup \emptyset = \bot$ , the least element of *S* (if exists)
- Let  $\mathcal{X} \subseteq \mathcal{P}(S)$  such that  $\sqcup X$  exists forall  $X \in \mathcal{X}$ ,  $\sqcup \{ \sqcup X \mid X \in \mathcal{X} \} = \sqcup (\bigcup \mathcal{X})$

if either of these lub exists

#### Domains

• A *chain C* is a countably infinite non-decreasing sequence

 $x_0 \sqsubseteq x_1 \sqsubseteq \dots$ 

- We may also use C to represent the set of elements on the chain
- The *limit* of a chain *C* is the lub of all its elements when it exists
- A chain C is interesting if  $(\sqcup C) \notin C$
- A poset D is a *predomain* (or *complete partial order cpo*) if every chain elements in D has a limit in D
- A predomain D is a *domain* (or *pointed cpo*) if D has a least element ⊥

# Lifting

- $D_{\perp}$  is a *lifting* of the predomain D if:
  - $\perp \notin D$
  - $x \sqsubseteq_{D_{\perp}} y$  iff either  $x = \perp$  or  $x \sqsubseteq_{D} y$
- Any set S can be viewed as a predomain with discrete partial order  $\sqsubseteq ::= Id_S$
- *D* is a *flat domain* if  $D \{\bot\}$  is discretely ordered

#### **Continuous Functions**

- If *D* and *D'* are predomains,  $f \in D \rightarrow D'$  is a continuous function if it maps limits to limis:  $f(\sqcup C) = \sqcup' \{f x_i \mid x_i \in C\}$  for every chain *C* in *D*
- Continuous functions are monotone ( $x \sqsubseteq y \sqsubseteq y \ldots$ )
- Monotone functions may not be continuous
  - Suppose  $C = x_0 \sqsubseteq x_1 \sqsubseteq \cdots$  is an insteresting chain in D with a limit x, and  $D' = \{\bot, \top\}$  such that  $\bot \sqsubseteq' \top$
  - Consider  $f = \lambda y$ . if y = x then  $\top$  else  $\bot$

#### Monotone vs continuous

- A monotone function  $f \in D \to D'$  is continuous iff forall interesting chains  $x_0 \sqsubseteq x_1 \sqsubseteq \cdots$  we have  $f(\bigsqcup_{i=0}^{\infty} x_i) \sqsubseteq \bigsqcup_{i=0}^{\prime \infty} (f x_i)$
- Proof.

# The (pre)domain of continuous functions

• **Pointwise ordering** of functions in  $P \rightarrow P'$ , where P' is a predomain:

$$f \sqsubseteq_{\rightarrow} g ::= \forall x \in P.f \ x \sqsubseteq_{P'} g \ x$$

#### • Proposition:

If *P* and *P'* are predomains, then the set  $[P \rightarrow P']$  of continuous functions in  $P \rightarrow P'$  with partial order  $\sqsubseteq_{\rightarrow}$  is a predomain, such that for any chain  $f_0 \sqsubseteq_{\rightarrow} f_1 \sqsubseteq_{\rightarrow} ...$ , we have  $\sqcup_i f_i = \lambda x \in P . \sqcup'_i (f_i x)$ 

If P' is a domain, then  $[P \rightarrow P']$  is a domain with  $\bot_{\rightarrow} = \lambda x \in P. \bot_{P'}$ 

#### Examples: continuous functions

- For predomains *P*, *P*' and *P*'',
  - If  $f \in P \to P'$  is constant, then  $f \in [P \to P']$
  - $\operatorname{Id}_P \in [P \to P]$
  - If  $f \in [P \to P']$  and  $g \in [P' \to P'']$ ,  $g \circ f \in [P \to P'']$
  - If  $f \in [P \to P'], (-\circ f) \in [[P' \to P''] \to [P \to P'']]$

### Strict functions and lifting

- If D and D' are domains,  $f \in D \to D'$  is *strict* if  $f \perp = \perp'$
- If *P* and *P'* are predomains,  $f \in P \to P'$ , then the strict function  $f_{\perp} ::= \lambda x \in P_{\perp}$ . if  $x = \perp$  then  $\perp'$  else f x
- is the *lifting* of f to  $P_{\perp} \rightarrow P'_{\perp'}$ .
- If P' is a domain, then the strict function

 $f_{\perp} ::= \lambda x \in P_{\perp}$  if  $x = \perp$  then  $\perp'$  else f x

is the *source lifting* of f to  $P_{\perp} \rightarrow P'$ 

- If f is continuous, so are  $f_{\perp}$  and  $f_{\parallel}$ .
- $(-)_{\perp}$  and  $(-)_{\parallel}$  are also continuous.

#### Least fixed-point

- **Theorem** [*Kleene fixed-point theorem*]: If *D* is a domain and  $f \in [D \rightarrow D]$  then  $x ::= \bigsqcup_{i=0}^{\infty} (f^i \perp)$  is the *least fixed-point* of *f*.
- Proof.

x is well-defined because  $\bot \sqsubseteq f \sqsubseteq f^2 \sqsubseteq \cdots$  is a chain. x is a fixed-point because

 $f x = f \left( \bigsqcup_{i=0}^{\infty} \left( f^{i} \bot \right) \right) = \bigsqcup_{i=0}^{\infty} \left( f \left( f^{i} \bot \right) \right) = x$ For any fixed-point y of  $f, \bot \sqsubseteq y \Rightarrow f \bot \sqsubseteq f y = y$ . By induction,  $\forall i \in \mathbb{N}$ .  $f^{i} \sqsubseteq y$ . So y is an upper bound of the chain  $\bot \sqsubseteq f \bot \sqsubseteq \cdots$ . Since x is a lub,  $x \sqsubseteq y$ .

#### The least fixed-point operator

• Let

$$\mathbf{Y}_D = \lambda f \in [D \to D] \sqcup_{i=0}^{\infty} (f^i \perp)$$

- $\forall f \in [D \rightarrow D]$ .  $\mathbf{Y}_D f$  is the least fixed-point of f.
- $\mathbf{Y}_D \in \left[ \left[ D \to D \right] \to D \right]$

### Back to semantics of loops

- Recall [while b do c]]<sub>C</sub> $\sigma = \begin{cases} ([while b do c]]_C \sigma = \\ \sigma & \text{otherwise} \end{cases}$
- It implies that  $\llbracket while \ b \ do \ c \rrbracket_C$  is a fixed-point of  $F ::= \lambda f \in State \rightarrow State_{\perp} . \lambda \sigma \in State.$  if  $\llbracket b \rrbracket_B \sigma$  then  $f_{\perp}(\llbracket c \rrbracket_C \sigma)$  else  $\sigma$
- We pick the least fixed-point

$$\llbracket \mathbf{while} \ b \ \mathbf{do} \ c \rrbracket_C ::= \mathbf{Y}_{[State \to State_{\perp}]} F$$

• Coincides with our intuition based on operational semantics:

$$W ::= \lim_{n \to \infty} W_n = \lim_{n \to \infty} F^n W_0$$

## Abstractness of semantics

- Abstract semantics are an attempt to separate the important properties of a language (what computations can it express) from the unimportant (how exactly computations are represented).
- The more terms are considered equal by a semantics, the more abstract it is.
- A semantic function  $\llbracket \rrbracket_1$  is *at least as abstract as*  $\llbracket \rrbracket_0$  if  $\forall c, c'. \llbracket c \rrbracket_0 = \llbracket c' \rrbracket_0 \Rightarrow \llbracket c \rrbracket_1 = \llbracket c' \rrbracket_1$

#### Observation and context

- If there are other means of observing the result of a computation, a semantics may be incorrect if it equates too many terms.
- Observation: "needs of the user"
- Let O be an observation, and O be a set of observations, i.e.  $O \in O \subseteq Comm \rightarrow Outcomes$
- A *context C* is a command with a *hole* []. Use *C* for all contexts.
- A command c can be *placed in the hole* of C, yielding C[c] (not substitution name capture is allowed).
- E.g., C = (**newvar**  $x \coloneqq 1$  **in**  $[]; y \coloneqq x)$

#### Soundness and full abstractness

- A semantic function  $\llbracket \rrbracket$  is *sound (with respect to O)* iff  $\forall c, c'. \llbracket c \rrbracket = \llbracket c' \rrbracket \Rightarrow \forall 0 \in O. \forall C \in C. O(C[c]) = O(C[c'])$
- A semantic function  $\llbracket \rrbracket$  is *fully abstract (with respect to O)* iff  $\forall c, c'. \llbracket c \rrbracket = \llbracket c' \rrbracket \Leftrightarrow \forall 0 \in \mathcal{O}. \forall C \in \mathcal{C}. O(C[c]) = O(C[c'])$

i.e. [-] is the "most abstract" sound semantics.

• **Proposition**: if  $[\![-]\!]_0$  and  $[\![-]\!]_1$  are both fully abstract semantics w.r.t.  $\mathcal{O}$ , then  $[\![-]\!]_0 = [\![-]\!]_1$ 

# Full abstractness of semantis for IMP

- Let  $O_{\sigma,x} ::= \lambda c$ . if  $[\![c]\!]_C \sigma = \bot$  then  $\bot$  else  $([\![c]\!]_C \sigma) x$
- Let  $\mathcal{O}$  be the set of all such observations, i.e.

 $\mathcal{O} = \left\{ O_{\sigma, x} \mid \sigma \in State, x \in Var \right\} \subseteq Comm \to \mathbb{Z}_{\perp}$ 

- **Proposition**:  $\llbracket \rrbracket_C$  is fully abstract w.r.t.  $\mathcal{O}$ .
  - $\llbracket \rrbracket_C$  is sound: by compositionality, if  $\llbracket c \rrbracket_C = \llbracket c' \rrbracket_C$ , then for any context C,  $\llbracket C[c] \rrbracket_C = \llbracket C[c'] \rrbracket_C$  (induction). So  $O_{\sigma,x}(C[c]) = O_{\sigma,x}(C[c'])$  for any observation  $O_{\sigma,x}$ .
  - $\llbracket \rrbracket_C$  is most abstract: consider the empty context  $C = \cdot$ . If  $O_{\sigma,x}(c) = O_{\sigma,x}(c')$  holds for all  $x \in Var$  and  $\sigma \in State$ , we know by definition  $\llbracket c \rrbracket_C = \llbracket c' \rrbracket_C$ .

# Main points of denotational semantics

- Idea: programs  $\rightarrow$  mathematical objects
- Theoretical foundation: domain theory
  - Poset, lub
  - Predomain (cpo), domain (pointed cpo)
  - Continuous functions, least fixed-point
- Compositional and abstract

### More on this topic

- Denotations for newvar, ...
- Observing termination of closed commands
- Extensions, e.g., the fail command

• Please refer to Chapter 2 of *Theories of Programming Languages* by Reynolds